

SCP-09201



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/826,458	04/16/2004	1765	860	SCP-9201	14	25	2

Attn: Kathleen A. Frost  
 STALLMAN & POLLOCK LLP  
 Suite 2200  
 353 Sacramento Street  
 San Francisco, CA 94111

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JUL 01 2004



STALLMAN &amp; POLLOCK LLP

CONFIRMATION NO. 1040

FILING RECEIPT



\*OC000000013080440\*

Date Mailed: 06/28/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

- ✓ Eric Hansen, Boise, ID;
- ✓ Victor Mimken, Boise, ID;
- ✓ Martin Bleck, Eagle, ID;
- ✓ M. Rao Yalamanchili, Eagle, ID;
- ✓ John Rosato, Boise, ID;

PRIOR ART STATEMENT DUE: — (04/16/04)FOREIGN FILING LETTER DUE: —FOREIGN FILING DEADLINE: — (expired 12/07/02)

24 Months

18 MONTH SUSPENSE DATE: 04/16/06

CAS

## Domestic Priority data as claimed by applicant

- ✓ This application is a CON of 10/010,240 12/07/2001 PAT 6,726,848

## Foreign Applications

If Required, Foreign Filing License Granted: 06/25/2004

✓ Projected Publication Date: 10/07/2004

Non-Publication Request: No

Early Publication Request: No

Title

✓ ~~Apparatus and method for single substrate processing~~ ←

Preliminary Class  
438

APPARATUS AND METHOD FOR SINGLE SUBSTRATE PROCESSING  
USING MEGASONIC-ASSISTED DRYING

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

10/826,458

-1-

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Eric Hansen et al.

Application No.: 10/826,458

Filed: April 16, 2004

For: APPARATUS AND METHOD FOR  
SINGLE SUBSTRATE PROCESSING  
USING MEGASONIC-ASSISTED  
DRYING (AS AMENDED)



Confirmation No.: 1040

Group Art Unit: 1765

Examiner: Unknown

**REQUEST FOR CORRECTION OF  
OFFICIAL FILING RECEIPT**

353 Sacramento Street, Suite 2200  
San Francisco, CA 94111  
Telephone: (415) 772-4900

Commissioner for Patents  
Attn: Office of Initial Patent Examination's  
Filing Receipt Corrections  
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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as First Class Mail in an  
envelope, addressed to: Commissioner for Patents, P.O.  
Box 1450, Alexandria, VA 22313-1450 on July 27, 2004.

STALLMAN & POLLOCK LLP

Dated: 07/27/2004

By: Georgia K. Stith

Georgia K. Stith

Sir:

Attached is a copy of the Official Filing Receipt which was received in our offices on  
July 1, 2004, in connection with the above-identified application

The Official Filing Receipt is in error. Please amend the receipt as shown in red ink on  
the attached copy as follows:

Please change the Official Filing Receipt to specifically read:

**--Applicants are a SMALL ENTITY--.**

Please change the "Title" to read as follows:

**--APPARATUS AND METHOD FOR SINGLE SUBSTRATE PROCESSING  
USING MEGASONIC-ASSISTED DRYING--**

Atty Docket No.: SCP-9201

**REMARKS**

Attached for filing in the above-identified application is a duly executed Small Entity Statement 37 CFR 1.27.

A Preliminary Amendment (copy of pp. 1-2 enclosed for review) was filed concurrently with the above-referenced patent application setting out in the section entitled "**Amendments to the Specification**," that the title of the application should be changed from "Apparatus and method for single substrate processing" to --Apparatus and Method for Single Substrate Processing Using Megasonic-Assisted Drying--.

Please forward the amended Official Filing Receipt to:

STALLMAN & POLLOCK LLP  
Attn: Kathleen A. Frost  
353 Sacramento Street, Suite 2200  
San Francisco, CA 94111

In the event it is determined that a fee pursuant to 37 C.F.R. §1.19(h) is required in connection with this request, the Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 50-1703, under Order No. SCP-9201. **A duplicate of this sheet is enclosed.**

Respectfully submitted,

STALLMAN & POLLOCK LLP

Dated: July 27, 2004

By: Kathleen A. Frost

Kathleen A. Frost  
Reg. No. 37,326

Attorneys for Applicant(s)